

# HOMOTOPY TYPE OF MODULI SPACES OF $G$ -HIGGS BUNDLES AND REDUCIBILITY OF THE NILPOTENT CONE

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**ABSTRACT.** Let  $G$  be a real reductive Lie group, and  $H^{\mathbb{C}}$  the complexification of its maximal compact subgroup  $H \subset G$ . We consider classes of semistable  $G$ -Higgs bundles over a Riemann surface  $X$  of genus  $g \geq 2$  whose underlying  $H^{\mathbb{C}}$ -principal bundle is unstable. This allows us to find obstructions to a deformation retract from the moduli space of  $G$ -Higgs bundles over  $X$  to the moduli space of  $H^{\mathbb{C}}$ -bundles over  $X$ , in contrast with the situation when  $g = 1$ , and to show reducibility of the nilpotent cone of the moduli space of  $G$ -Higgs bundles, for  $G$  complex.

## 1. INTRODUCTION

A *Higgs bundle* on a Riemann surface  $X$  is a pair  $(E, \varphi)$ , where  $E$  is a rank  $n$  holomorphic vector bundle over  $X$  and  $\varphi \in H^0(\text{End}(E) \otimes K)$  is a holomorphic endomorphism of  $E$  twisted by the canonical bundle  $K$  of  $X$ . Higgs bundles appeared first in the work of Hitchin [Hi87] and Simpson [Si92, Si88]. The non-abelian Hodge Theorem [Co88, Do87, Hi87, Si88] identifies the moduli space of Higgs bundles with the *character variety* for representations of the fundamental group of  $X$  into  $\text{GL}(n, \mathbb{C})$ .

The appropriate objects for extending the non-abelian Hodge Theorem to representations of the fundamental group in a *real* reductive Lie group  $G$  (see, e.g., [Hi92, GGM09, Go14]) are called  *$G$ -Higgs bundles*. There are natural notions of stability, semistability, and polystability for  $G$ -Higgs bundles, leading to corresponding moduli spaces  $\mathcal{M}(G)$  (see [GGM09] for the general theory). Again, there is an identification between  $\mathcal{M}(G)$  and the moduli space of flat  $G$ -connections on  $X$ .

Motivated partially by this identification, the moduli space of  $G$ -Higgs bundles has been extensively studied. When  $G$  is a complex semisimple Lie group Biswas and Florentino proved in [BF11] that the moduli space of topologically trivial principal  $G$ -bundles over a compact Riemann surface (which are actually  $H$ -Higgs bundles, where  $H$  is the maximal compact subgroup of  $G$ ) is not a deformation retraction of the moduli space of topologically trivial  $G$ -Higgs bundles. This result contrasts with the main theorem of Florentino and Lawton [FL09] which says that the moduli space of flat  $H$ -connections on an *open surface*  $X$  is a strong deformation retraction of the moduli space of flat  $G$ -connections on  $X$ , for complex reductive  $G$ .

Our aim in this paper is to generalize the above mentioned theorem of Biswas and Florentino to the case of real reductive Lie groups. Using the non-abelian Hodge theorem, the question is to prove that the moduli spaces of semistable principal  $H^{\mathbb{C}}$ -bundles, which we denote by  $\mathcal{N}(H^{\mathbb{C}})$ , is not a deformation retraction of the moduli spaces of semistable  $G$ -Higgs bundles  $\mathcal{M}(G)$ , where  $H^{\mathbb{C}}$  is the complexification of  $H$ . We recall that the

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topological invariants of the underlying principal bundles label unions of connected components of the moduli spaces, so in order to study deformation retraction from  $\mathcal{M}(G)$  to  $\mathcal{N}(H^\mathbb{C})$  we should consider separately each topological type. In this paper, we address the case of trivial topological type.

Our strategy is as follows. We use the  $\mathbb{C}^*$ -action on the moduli space of  $G$ -Higgs bundles, given by multiplication of the Higgs field, and show (Proposition 2.10) that it provides a deformation retraction onto the *nilpotent cone*: the pre-image of zero under the Hitchin map, defined in section 2.4.1. Therefore, we reduce the question to finding obstructions to a deformation from the nilpotent cone to  $\mathcal{N}(H^\mathbb{C})$ . Then we prove that such obstructions are semistable  $G$ -Higgs bundles whose underlying  $H^\mathbb{C}$ -bundle is unstable and we show existence of these obstructions by using the construction of [GPR15], stated in Proposition 3.9. This result allows us also to deduce the reducibility of the nilpotent cone of the moduli space of  $G$ -Higgs bundles when  $G$  is a connected reductive complex Lie group.

More precisely, our main results are the following theorems (see Theorems 3.12 and 3.15 below; note that the moduli spaces may be singular).

**Theorem A.** *Let  $G$  be a non-abelian connected reductive complex Lie group. Then the nilpotent cone in the moduli space of  $G$ -Higgs bundles of trivial topological type is not irreducible.*

**Theorem B.** *Let  $G$  be a non-abelian (real or complex) connected reductive Lie group of non-Hermitian type or connected simple real Lie group of Hermitian non-tube type. Then the moduli space of semistable principal  $H^\mathbb{C}$ -bundles of trivial topological type is not a deformation retraction of the moduli space of semistable  $G$ -Higgs bundles of trivial topological type.*

## 2. MODULI OF HIGGS BUNDLES AND THE NILPOTENT CONE

**2.1.  $G$ -Higgs bundles.** Let  $X$  be a compact connected Riemann surface of genus  $g$ , for  $g \geq 2$ , and let  $K = T^*X$  be the canonical bundle of  $X$ . Let  $G$  be a (real or complex) connected reductive Lie group with a choice of a maximal compact subgroup  $H \subset G$ , and denote by  $H^\mathbb{C}$  the complexification of  $H$ .

By an  $H^\mathbb{C}$ -bundle over  $X$  we always mean a *holomorphic* principal  $H^\mathbb{C}$ -bundle over  $X$ . Recall that this is a holomorphic fibre bundle  $\pi : E \rightarrow X$  with a holomorphic  $H^\mathbb{C}$ -action which is free and transitive on each fibre and  $E$  is required to admit holomorphic  $H^\mathbb{C}$ -equivariant local trivializations  $E|_U \cong U \times H^\mathbb{C}$  over small open sets  $U \subset X$ . Denote by  $\mathcal{N}(H^\mathbb{C})$  the moduli space of semistable principal  $H^\mathbb{C}$ -bundles over  $X$ ; the construction of the moduli space can be found in [Ra96]. It is a union of connected components (see [Ra75])

$$\mathcal{N}(H^\mathbb{C}) = \coprod_d \mathcal{N}_d(H^\mathbb{C})$$

indexed by the elements  $d \in \pi_1(H^\mathbb{C})$  which correspond to topological types of principal  $H^\mathbb{C}$ -bundles  $E$  over  $X$ . Moreover, for each  $d \in \pi_1(H^\mathbb{C})$ ,  $\mathcal{N}_d(H^\mathbb{C})$  is non-empty.

If  $\mathfrak{h}^\mathbb{C} \subset \mathfrak{g}^\mathbb{C}$  are the corresponding Lie algebras, there is a (complexified) Cartan decomposition

$$\mathfrak{g}^\mathbb{C} = \mathfrak{h}^\mathbb{C} \oplus \mathfrak{m}^\mathbb{C}$$

where  $\mathfrak{m}^\mathbb{C}$  is a complex vector space. The restriction of the adjoint representation  $\text{Ad} : G^\mathbb{C} \rightarrow \text{GL}(\mathfrak{g}^\mathbb{C})$  to  $H^\mathbb{C}$  preserves the Cartan decomposition and induces the *isotropy representation* of  $H^\mathbb{C}$  on  $\mathfrak{m}^\mathbb{C}$ :

$$(2.1) \quad \iota : H^\mathbb{C} \rightarrow \text{GL}(\mathfrak{m}^\mathbb{C}).$$

Given a  $H^\mathbb{C}$ -bundle  $E$ , denote by  $E(\mathfrak{m}^\mathbb{C})$  the vector bundle with fibres  $\mathfrak{m}^\mathbb{C}$  associated to  $E$  via the isotropy representation, i.e.,  $E(\mathfrak{m}^\mathbb{C}) = E \times_i \mathfrak{m}^\mathbb{C}$ .

**Definition 2.1.** A  $G$ -Higgs bundle on a Riemann surface  $X$  is a pair  $(E, \varphi)$  which consists of a principal  $H^\mathbb{C}$ -bundle  $E$  and a holomorphic section  $\varphi$  of the bundle  $E(\mathfrak{m}^\mathbb{C}) \otimes K$ . The section  $\varphi$  is called the *Higgs field*.

*Remark.* We have the following particular cases.

- (1) If  $G$  is itself a compact group, then  $\mathfrak{m}^\mathbb{C} = 0$ , so the Higgs field is identically zero, and we recover the notion of principal  $H^\mathbb{C} = G^\mathbb{C}$ -bundle.
- (2) When  $G$  is a complex group, then we have  $H^\mathbb{C} = G$  and also  $\mathfrak{m}^\mathbb{C} = \mathfrak{g}$ . So, a  $G$ -Higgs bundle is a pair  $(E, \varphi)$ , where  $E$  is a  $G$ -bundle and  $\varphi \in H^0(X, E(\mathfrak{g}) \otimes K) = H^0(X, \text{Ad}(E) \otimes K)$ .
- (3) When  $G$  is non-compact of Hermitian type there is an almost complex structure on  $\mathfrak{m}^\mathbb{C}$  defined by the adjoint action of a special element  $J$  in the center  $\mathfrak{z}$  of  $\mathfrak{h}$  with  $J^2 = -\text{id}$ . The almost complex structure splits  $\mathfrak{m}^\mathbb{C}$  into  $H^\mathbb{C}$ -invariant  $\pm i$ -eigenspaces

$$\mathfrak{m}^\mathbb{C} = \mathfrak{m}^+ \oplus \mathfrak{m}^-$$

and therefore splits the bundle  $E(\mathfrak{m}^\mathbb{C}) = E(\mathfrak{m}^+) \oplus E(\mathfrak{m}^-)$ . Hence the Higgs field decomposes as  $\varphi = (\varphi^+, \varphi^-)$  where

$$(2.2) \quad \varphi^+ \in H^0(X, E(\mathfrak{m}^+) \otimes K), \quad \varphi^- \in H^0(X, E(\mathfrak{m}^-) \otimes K).$$

The notion of  $G$ -Higgs bundle includes several interesting particular cases. When  $G$  is a classical Lie group,  $G$ -Higgs bundles can be defined in terms of holomorphic vector bundles with additional structure, as follows.

**Example 2.2.** A  $\text{GL}(n, \mathbb{C})$ -Higgs bundle on  $X$  is a pair  $(E, \varphi)$ , where  $E$  is a rank  $n$  holomorphic vector bundle over  $X$  and  $\varphi \in H^0(\text{End}(E) \otimes K)$  is a holomorphic endomorphism of  $E$  twisted by  $K$ . This is the original notion of Higgs bundle introduced by Hitchin [Hi87]. Similarly, a  $\text{SL}(n, \mathbb{C})$ -Higgs bundle is a pair  $(E, \varphi)$ , where  $E \rightarrow X$  is a holomorphic rank  $n$  vector bundle with  $\det(E) = \mathcal{O}$  and  $\varphi \in H^0(X, \text{End}(E) \otimes K)$  with  $\text{tr}(\varphi) = 0$ .

**Example 2.3.** A  $\text{SO}(n, \mathbb{C})$ -Higgs bundle is a pair  $(E, \varphi)$  where  $E$  is a  $\text{SO}(n, \mathbb{C})$ -bundle and  $\varphi \in H^0(E(\mathfrak{so}(n, \mathbb{C})) \otimes K)$ . Using the standard representations of  $\text{SO}(n, \mathbb{C})$  in  $\mathbb{C}^n$  we can associate to  $E$  a holomorphic vector bundle  $W$  of rank  $n$  with trivial determinant,

$$W = E \times_{\text{SO}(n, \mathbb{C})} \mathbb{C}^n,$$

together with a non-degenerate symmetric quadratic form  $Q \in H^0(S^2 W^*)$ ; we can think of  $Q$  as a symmetric holomorphic isomorphism  $Q : W \rightarrow W^*$ . The Higgs field in terms of the vector bundle  $W$  is a holomorphic section  $\varphi \in H^0(\text{End}(W) \otimes K)$  satisfying  $Q(u, \varphi v) = -Q(\varphi u, v)$  and  $\text{tr}(\varphi) = 0$ .

**Example 2.4.** Let  $G = \text{SL}(n, \mathbb{R})$ . The Cartan decomposition of the Lie algebra is given by

$$\mathfrak{sl}(n, \mathbb{R}) = \mathfrak{so}(n) \oplus \mathfrak{m},$$

where  $\mathfrak{m} = \{\text{symmetric real matrices of trace } 0\}$ . So a  $\text{SL}(n, \mathbb{R})$ -Higgs bundle is a pair  $(E, \varphi)$ , where  $E$  is a  $\text{SO}(n, \mathbb{C})$ -bundle and  $\varphi \in H^0(E(\mathfrak{m}^\mathbb{C}) \otimes K)$ . Hence a  $\text{SL}(n, \mathbb{R})$ -Higgs bundle can be viewed as a triple  $(W, Q, \varphi)$ , where  $(W, Q)$  is a holomorphic orthogonal bundle with  $\det(W) = \mathcal{O}$ , and  $\varphi$  is a traceless holomorphic section of  $\text{End}(W) \otimes K$  that is symmetric with respect to  $Q$ , i.e.  $Q\varphi^T Q = \varphi$ .

**2.2. Moduli spaces.** For the construction of moduli spaces, as usual one introduces several notions of stability. The notions of stability, semistability and polystability for  $G$ -Higgs bundles depend on a real parameter  $\alpha$  and generalize the usual slope stability condition for Higgs bundles and Ramanan's stability condition for principal bundles. In the present work we consider only the particular case  $\alpha = 0$ , because this is the relevant value for relating  $G$ -Higgs bundles to representations of  $\pi_1(X)$  via the non-abelian Hodge theorem. Thus we simply say polystable instead of 0-polystable and likewise for stable and semistable, and refer the reader to [GGM09] for the general definitions.

*Remark 2.5.* To a  $G$ -Higgs bundle, for  $G \subset \mathrm{GL}(n, \mathbb{C})$ , we can naturally associate a  $\mathrm{GL}(n, \mathbb{C})$ -Higgs bundle. By this correspondence, semistability of a  $G$ -Higgs bundle is equivalent to semistability of the associated  $\mathrm{GL}(n, \mathbb{C})$ -Higgs bundle. For stability the situation is more subtle: it is possible for a stable  $G$ -Higgs bundle to induce a strictly semistable  $\mathrm{GL}(n, \mathbb{C})$ -Higgs bundle.

**2.3. Components of moduli spaces.** To a given  $G$ -Higgs bundle we can associate the topological invariant of the underlying  $H^\mathbb{C}$ -bundle. As mentioned before, for connected  $H^\mathbb{C}$ , topological types are well-known [Ra75] to be classified by elements of

$$\pi_1(H^\mathbb{C}) = \pi_1(H) = \pi_1(G).$$

**Definition 2.6.** For a fixed  $d \in \pi_1(G)$ , the moduli space of polystable  $G$ -Higgs bundles  $\mathcal{M}_d(G)$  is defined to be the set of isomorphism classes of polystable  $G$ -Higgs bundles  $(E, \varphi)$  with  $c(E) = d$ .

These moduli spaces are complex algebraic varieties, due to constructions of Schmitt [Sc05, Sc08]. We have the disjoint union

$$\mathcal{M}(G) = \coprod_d \mathcal{M}_d(G).$$

When  $G$  is a complex reductive Lie group the moduli space  $\mathcal{M}_d(G)$  is connected and non-empty, for every  $d \in \pi_1(G)$  (see [GO16]). But the situation is very different when  $G$  is a real reductive Lie group. In this case the moduli space  $\mathcal{M}_d(G)$  can be a union of several connected components and can also be empty for some  $d \in \pi_1(G)$ .

The following are three known cases of real Lie groups for which there exists a topological type  $d$  such that  $\mathcal{M}_d(G)$  is disconnected:

- (1) When  $G$  is a split real form, proved by Hitchin [Hi92],
- (2) When  $G$  is non-compact of Hermitian type, the *Cayley correspondence* [BGG06] provides extra components in the moduli space for maximal Toledo invariant (defined below). For  $G = \mathrm{SL}(2, \mathbb{R})$ , this goes back to Goldman [Gol80].
- (3) When  $G = \mathrm{SO}_0(p, q)$  there are, in general, extra components not accounted for by the preceding mechanisms, see [Co17, ABCGGO18].

In the case when  $G$  is non-compact of Hermitian type one can define an integer invariant  $\tau(E, \varphi)$  called the *Toledo invariant* which is an element of the torsion free part of  $\pi_1(H)$ . This invariant is bounded by a *Milnor-Wood inequality*, beyond which the moduli spaces are empty. In fact, if  $G$  is non-compact of Hermitian type and  $(E, \varphi^+, \varphi^-)$  is a semistable  $G$ -Higgs bundle, then the Toledo invariant  $\tau = \tau(E)$  satisfies

$$(2.3) \quad -\mathrm{rk}(\mathrm{im}(\varphi^+))(2g-2) \leq \tau \leq \mathrm{rk}(\mathrm{im}(\varphi^-))(2g-2),$$

(see [BGR, GN]) where  $\varphi^+, \varphi^-$  are defined in (2.2).

**Example 2.7.** A  $\mathrm{SL}(2, \mathbb{R})$ -Higgs bundle has the form

$$E = (W = L \oplus L^*, Q = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \varphi = \begin{pmatrix} 0 & \varphi^+ \\ \varphi^- & 0 \end{pmatrix}),$$

where  $L$  is a line bundle,  $\varphi^+ \in H^0(X, L^2 \otimes K)$  and  $\varphi^- \in H^0(X, L^{-2} \otimes K)$ . The group  $\mathrm{SL}(2, \mathbb{R})$  is of Hermitian type and the Toledo invariant is  $\tau(E) = 2 \deg(L)$ . The inequality (2.3) implies  $|\deg(L)| \leq g - 1$  and, if both  $\varphi^+$  and  $\varphi^-$  are non-zero, any  $E$  satisfying this inequality is semistable. Moreover, if  $\varphi^+ = 0$ , then  $E$  is semistable if and only if  $\deg(L) \geq 0$  and if  $\varphi^- = 0$ , then  $E$  is semistable if and only if  $\deg(L) \leq 0$ . Thus, if the Higgs field vanishes then  $E$  is semistable if and only if  $\deg(L) = 0$ .

*Remark 2.8.* It follows from (2.3) that, if  $G$  is of Hermitian type and  $(E, 0)$  is a semistable  $G$ -Higgs bundle, then  $\tau(E) = 0$ .

For all the real connected semisimple classical groups of Hermitian type, namely  $\mathrm{SU}(p, q)$ ,  $\mathrm{Sp}(2n, \mathbb{R})$ ,  $\mathrm{SO}^*(2n)$  and  $\mathrm{SO}_0(2, n)$  we have  $\pi_1(H) \cong \mathbb{Z}$ , except  $G = \mathrm{SO}_0(2, n)$  with  $n \geq 3$  for which  $\pi_1(H) \cong \mathbb{Z} \oplus \mathbb{Z}_2$ . So in these cases, i.e. excepting  $\mathrm{SO}_0(2, n)$ , the topological type of the  $G$ -Higgs bundle is determined by the Toledo invariant.

#### 2.4. The $\mathbb{C}^*$ -action on the moduli spaces and retraction to the nilpotent cone.

In this subsection we show how the use of a  $\mathbb{C}^*$ -action on the moduli space of  $G$ -Higgs bundles implies a deformation retraction onto the nilpotent cone.

The moduli space of  $G$ -Higgs bundles  $\mathcal{M}_d(G)$  admits a non-trivial holomorphic  $\mathbb{C}^*$ -action [Hi87, Si92] by multiplication of the Higgs field,

$$(2.4) \quad z \cdot (E, \varphi) = (E, z\varphi).$$

From the gauge theory point of view one can observe that the action of the subgroup  $S^1 \subset \mathbb{C}^*$  on the moduli space is Hamiltonian with proper moment map defined as follows

$$f : \mathcal{M}_d(G) \rightarrow \mathbb{R} \\ (E, \varphi) \mapsto \|\varphi\|^2 := \int_X |\varphi|^2 \mathrm{vol}.$$

When the moduli space  $\mathcal{M}_d(G)$  is smooth, the theorem of Frankel [Fr59] implies that  $f$  is a perfect Bott-Morse function. Another consequence of the fact that  $f$  is a moment map for the Hamiltonian  $S^1$ -action is that the set of critical points of  $f$  coincides with the set of fixed points of the action. We also recall that the sets of fixed points of the actions of  $S^1$  and  $\mathbb{C}^*$  coincide. Let  $\{\mathcal{F}_\lambda\}_{\lambda \in \Lambda}$  be the set of the irreducible components of the fixed point set of the  $\mathbb{C}^*$ -action on  $\mathcal{M}_d(G)$ , with  $\Lambda$  an index set.

There exists a *Morse stratification* on the moduli spaces  $\mathcal{M}_d(G)$  which coincides with the *Białynicki-Birula stratification*, due to results of Kirwan in [Ki84]. It is defined as follows. Let

$$U_\lambda := \{(E, \varphi) \in \mathcal{M}_d(G) \mid \lim_{z \rightarrow 0} z \cdot (E, \varphi) \in \mathcal{F}_\lambda\}.$$

Then  $\cup_\lambda U_\lambda$  gives a stratification of  $\mathcal{M}_d(G)$ .

One can also define the so-called *downward Morse flow* of  $\mathcal{F}_\lambda$  which, again due to the result of Kirwan, is given by the sets  $D_\lambda := \{(E, \varphi) \in \mathcal{M}_d(G) \mid \lim_{z \rightarrow \infty} z \cdot (E, \varphi) \in \mathcal{F}_\lambda\}$ . Using the label  $0 \in \Lambda$  to denote the fixed point set of  $G$ -Higgs bundles with zero Higgs field, it is clear that we have  $\mathcal{F}_0 = \mathcal{N}_d(H^\mathbb{C})$ . Note that  $\mathcal{M}_d(G)$  does not have to be smooth for the Białynicki-Birula stratification to be defined.

**2.4.1. Nilpotent Cone.** Take a basis  $\{\beta_1, \dots, \beta_r\}$  for the  $G$ -invariant polynomials on the Lie algebra  $\mathfrak{g}^\mathbb{C}$  (under the adjoint action) and let  $d_i = \deg(\beta_i)$ . Given a  $G$ -Higgs bundle  $(E, \varphi)$ , the evaluation of  $\beta_i$  on  $\varphi$  gives a section  $\beta_i(\varphi) \in H^0(X, K^{d_i})$ . For a fixed  $d \in \pi_1(G)$

the (restricted) *Hitchin map* is defined to be

$$\begin{aligned}\mathcal{H} : \mathcal{M}_d(G) &\rightarrow \bigoplus H^0(X, K^{d_i}) \\ (E, \varphi) &\mapsto (\beta_1(\varphi), \dots, \beta_r(\varphi)).\end{aligned}$$

For example when  $G = \mathrm{GL}(n, \mathbb{C})$  then  $\beta_i(\varphi)$  can be taken to be  $\mathrm{tr}(\wedge^i \varphi)$  and  $d_i = i$  for all  $i = 1, \dots, n$ . The Hitchin map is proper for any choice of basis; see [Hi87, Hi92]. A more general direct construction (i.e. without passing to the complex group) of the Hitchin map for real  $G$  can be found in [GPR15].

The pre-image of zero under the Hitchin map  $\mathcal{H}^{-1}(0) \subset \mathcal{M}_d(G)$  is called the *nilpotent cone*. This was defined by Laumon [La88] in the case of a complex group, and by abuse of language we use the same name when  $G$  is a real Lie group. The Hitchin map is algebraic, so the nilpotent cone is a subscheme which is, in general, neither reduced nor irreducible (see [Hi17] for a precise analysis in the case  $G = \mathrm{SL}(2, \mathbb{C})$ ). However, we shall view it as a subvariety<sup>1</sup>, i.e., we consider the associated reduced scheme.

**Proposition 2.9.** [Ha] *The downward Morse flow coincides with the nilpotent cone, more precisely*

$$\mathcal{H}^{-1}(0) = \bigcup_{\lambda \in \Lambda} \bar{D}_\lambda.$$

From the above proposition and the fact that  $\mathcal{H}$  is proper we can also deduce that each component of the nilpotent cone is a projective variety. The following result generalizes the one for semisimple complex  $G$  given in [BF11], with an analogous proof.

**Proposition 2.10.** *Let  $G$  be a real reductive Lie group. Then the nilpotent cone  $\mathcal{H}^{-1}(0)$  is a deformation retraction of the moduli space  $\mathcal{M}_d(G)$ .*

*Proof.* Fixing a Hermitian metric on  $X$  it induces a Hermitian metric on  $K$ , and hence an inner product on each vector space  $H^0(X, K^{d_i})$ . Consider the following composition map:

$$\begin{aligned}\mathcal{M}_d(G) &\xrightarrow{\mathcal{H}} \bigoplus_{i=1}^r H^0(X, K^{d_i}) \xrightarrow{f} \mathbb{R}_{\geq 0}, \\ (s_1, \dots, s_r) &\mapsto \sum_{i=1}^r \|s_i\|^{\frac{1}{d_i}}.\end{aligned}$$

Since both the Hitchin map  $\mathcal{H}$  and  $f$  are proper, the inverse image  $(f \circ \mathcal{H})^{-1}([0, \epsilon]) =: U_\epsilon$  is a compact neighborhood of the nilpotent cone. Note that for any real  $t \geq 0$  and  $s_i \in H^0(X, K^{d_i})$  we have  $\|t \cdot s_i\| = t^{d_i} \|s_i\|$  and hence

$$(2.5) \quad f(ts_1, \dots, ts_r) = t f(s_1, \dots, s_r)$$

Using the  $\mathbb{C}^*$ -action on the moduli space of  $G$ -Higgs bundles (2.4) we define the following homotopy between the identity map of  $\mathcal{M}_d(G)$  and a retraction onto  $U_\epsilon$  as follows:

$$\begin{aligned}\mathcal{F} : \mathcal{M}_d(G) \times [0, 1] &\rightarrow \mathcal{M}_d(G) \\ (E, \varphi) &\mapsto \begin{cases} \begin{cases} (E, t_0 \cdot \varphi) & t \leq t_0 := \frac{\epsilon}{f(\mathcal{H}(E, \varphi))} \\ (E, t \cdot \varphi) & t > t_0 \end{cases} & \text{if } f(\mathcal{H}(E, \varphi)) > \epsilon \\ (E, \varphi) & \text{if } f(\mathcal{H}(E, \varphi)) \leq \epsilon \end{cases}\end{aligned}$$

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<sup>1</sup>We shall not require varieties to be irreducible.

Indeed, we have

$$\begin{aligned}\mathcal{F}((E, \varphi), t) &= (E, \varphi), \text{ for } (E, \varphi) \in U_\epsilon \\ \mathcal{F}((E, \varphi), 1) &= (E, \varphi), \text{ for } (E, \varphi) \in \mathcal{M}_d(G).\end{aligned}$$

Next we prove  $\mathcal{F}((E, \varphi), 0) \in U_\epsilon$  to conclude that  $U_\epsilon$  is a deformation retraction of  $\mathcal{M}_d(G)$ . Clearly if  $f(\mathcal{H}(E, \varphi)) \leq \epsilon$  then  $\mathcal{F}((E, \varphi), 0) = (E, \varphi) \in U_\epsilon$ . If  $f(\mathcal{H}(E, \varphi)) > \epsilon$  then

$$f(\mathcal{H}(\mathcal{F}((E, \varphi), 0))) = f(\mathcal{H}(E, t_0 \cdot \varphi)) = t_0 f(\mathcal{H}(E, \varphi)) = \epsilon,$$

in the last equality we use the equality (2.5).

The nilpotent cone is a proper subvariety of  $\mathcal{M}_d(G)$  so it is a finite CW-complex and an absolute deformation retract (see, for example, [BCR98]). Hence, there is some open neighborhood  $U \supseteq \mathcal{H}^{-1}(0)$  such that  $U$  deformation retracts to  $\mathcal{H}^{-1}(0)$ . Choose  $\epsilon$  small enough so that  $U_\epsilon \subset U$ , this is possible as  $\mathcal{H}$  is proper. Therefore the composition of deformation retraction of  $U$  into the nilpotent cone and of  $\mathcal{M}_d(G)$  into  $U_\epsilon$  gives a retraction of  $\mathcal{M}_d(G)$  into the nilpotent cone.  $\square$

### 3. THE OBSTRUCTIONS TO A DEFORMATION RETRACTION

For every topological type  $d \in \pi_1(H)$  there is a natural inclusion  $\mathcal{N}_d(H^\mathbb{C}) \subset \mathcal{M}_d(G)$  which comes from considering principal  $H^\mathbb{C}$ -bundles as  $G$ -Higgs bundles with zero Higgs field. Thus, we have

$$\mathcal{N}_d(H^\mathbb{C}) \subset \mathcal{H}^{-1}(0) \subset \mathcal{M}_d(G),$$

and we can identify  $\mathcal{N}_d(H^\mathbb{C}) = \mathcal{F}_0$ . Thus, in order to discuss obstructions to the deformation retraction from the moduli spaces of  $G$ -Higgs bundles to  $\mathcal{N}_d(H^\mathbb{C})$ , by using Proposition 2.10, it is enough to study the obstructions to deformation retraction from the nilpotent cone to  $\mathcal{N}_d(H^\mathbb{C}) = \mathcal{F}_0$ , which we do next.

*Remark.* In the case when  $G$  is non-compact of Hermitian type, by Remark 2.8 the right question to ask would be the deformation retraction from  $\mathcal{M}_d(G)$  to  $\mathcal{N}_d(H^\mathbb{C})$  for trivial topological type  $d = 0$ .

**3.1. Additive homology of  $\mathcal{M}_d(G)$ .** In this section we consider homology with  $\mathbb{C}$ -coefficients. The following lemmas are of course well known but, for completeness, we include proofs.

Recall that we do not require algebraic varieties to be irreducible. We understand the dimension of a variety  $Y$  to be the maximal dimension of an irreducible component of  $Y$ . We also recall that any projective variety has the structure of a finite CW-complex and that this can be taken to be compatible with any given subvariety [BCR98, Hir75]. Finally we recall that any irreducible projective variety  $Y$  of dimension  $r$  has a non-zero fundamental class  $[Y] \in H_{2r}(Y) \cong \mathbb{C}$  (see, e.g., [Ha75, II.7.6]) and that  $H_n(Y) = 0$  for  $n > 2 \dim(Y)$ .

**Lemma 3.1.** *Let  $Y$  be a projective variety of dimension  $r$ . Then  $H_{2r}(Y) \cong \mathbb{C}^n$ , where  $n$  is the number of irreducible components of  $Y$  of dimension  $r$ .*

*Proof.* We prove the result by induction on the number of irreducible components of  $Y$ , the case  $n = 1$  being the result described in the paragraph preceding the lemma. So let  $Y = Y_1 \cup Y_2$ , where  $Y_1$  is irreducible and  $Y_2$  has  $n - 1$  irreducible components.

Let  $r = \dim(Y)$ . Since  $\dim(Y_1 \cap Y_2) < r$  we have  $H_n(Y_1 \cap Y_2) = 0$  for  $n > 2r - 2$ . Thus the Mayer–Vietoris sequence for  $Y = Y_1 \cup Y_2$  gives

$$0 \rightarrow H_{2r}(Y_1) \oplus H_{2r}(Y_2) \xrightarrow{\cong} H_{2r}(Y) \rightarrow 0.$$

Since by induction the desired result holds for  $Y_1$  and  $Y_2$ , the lemma follows.  $\square$

**Lemma 3.2.** *Let  $Y$  be a projective variety and suppose that  $Y = Y_1 \cup Y_2$ , where  $Y_i \subsetneq Y$  is non-empty and closed for  $i = 1, 2$ . Then  $Y_i$  and  $Y$  have non-isomorphic homology for  $i = 1, 2$ .*

*Proof.* Let  $r = \dim(Y)$ . If both  $Y_1$  and  $Y_2$  have dimension  $r$ , the result is immediate from Lemma 3.1. It remains to consider the case when  $\dim(Y_1) = s < r$  and  $\dim(Y_2) = r$ , say. Since clearly  $Y$  and  $Y_1$  have distinct homology we just have to show that  $Y$  and  $Y_2$  have distinct homology. For this, note first that we may remove any irreducible components of  $Y_1$  which are contained in  $Y_2$  and still have the hypotheses of the Lemma satisfied. Then, by decomposing into irreducible components, we see that  $\dim(Y_1 \cap Y_2) < s$ . Therefore we have  $H_n(Y_1 \cap Y_2) = 0$  for  $n > 2s - 2$ . Thus the Mayer–Vietoris sequence for  $Y = Y_1 \cup Y_2$  gives

$$0 \rightarrow H_{2s}(Y_1) \oplus H_{2s}(Y_2) \xrightarrow{\cong} H_{2s}(Y) \rightarrow 0.$$

Since, by Lemma 3.1,  $H_{2s}(Y_1) \neq 0$ , we see that  $H_{2s}(Y_2)$  and  $H_{2s}(Y)$  are distinct, as desired.  $\square$

**Lemma 3.3.** *Assume that there exists a component  $\mathcal{F}_\lambda$  of the fixed locus with  $\lambda \neq 0$ . Then we may choose  $\lambda \neq 0$  such that*

$$\bar{D}_\lambda \cap \mathcal{F}_0 = \left\{ \lim_{z \rightarrow 0} (E, z\varphi) \mid (E, \varphi) \in D_\lambda \right\}.$$

*Proof.* Following Simpson [Si94, S11], we may consider a  $\mathbb{C}^*$ -equivariant embedding of  $\mathcal{H}^{-1}(0)$  as a projective variety, where the ambient projective space has a standard positively weighted  $\mathbb{C}^*$ -action and  $\mathcal{F}_0$  lies in the weight zero subspace. Then the component  $\mathcal{F}_\lambda$  with the lowest non-zero weight of the  $\mathbb{C}^*$ -action satisfies the condition of the lemma.  $\square$

**Proposition 3.4.** *Suppose that there is a non-empty  $\mathcal{F}_\lambda$ , for some  $\lambda \neq 0$ . Then  $\mathcal{M}_d(G)$  and  $\mathcal{N}_d(H^\mathbb{C})$  have distinct additive singular homology.*

*Proof.* Consider the closed subspace  $\bar{D}_\lambda \subset \mathcal{H}^{-1}(0)$ . If  $\mathcal{F}_0$  is not contained in  $\bar{D}_\lambda$  then Lemma 3.2 gives the conclusion. Otherwise Lemma 3.3 tells us that, for suitable  $\lambda$ , any  $(E, 0) \in \mathcal{F}_0$  is of the form  $(E, 0) = \lim_{z \rightarrow 0} (E, z\varphi)$  with  $(E, \varphi) \in D_\lambda$ . Now consider the  $\mathbb{C}^*$ -invariant subspace of  $\bar{D}_\lambda$ ,

$$\bar{D}_\lambda^0 = \{(E, \varphi) \in \bar{D}_\lambda \mid \lim_{z \rightarrow 0} (E, z\varphi) \in \mathcal{F}_0\}.$$

By Lemma 3.3, the map  $\bar{D}_\lambda^0 \rightarrow \mathcal{F}_0$  given by  $(E, \varphi) \mapsto (E, 0)$  is a surjective morphism. Moreover, it is clearly  $\mathbb{C}^*$ -equivariant. Hence, since the  $\mathbb{C}^*$ -action is non-trivial on  $\bar{D}_\lambda^0$  and trivial on  $\mathcal{F}_0$ , we conclude that  $\dim \bar{D}_\lambda > \dim \mathcal{F}_0$ . Therefore Lemma 3.1 shows that  $\mathcal{H}^{-1}(0)$  and  $\mathcal{F}_0$  have distinct homology, as was to be shown.  $\square$

**Corollary 3.5.** *Suppose that there exists a semistable  $G$ -Higgs bundle  $(E, \varphi)$  for which  $E$  is unstable as a principal  $H^\mathbb{C}$ -bundle. Then  $\mathcal{M}_d(G)$  and  $\mathcal{N}_d(H^\mathbb{C})$  have distinct additive singular homology.*

*Proof.* Let  $(E, \varphi)$  be a semistable Higgs bundle and suppose  $\lim_{t \rightarrow 0} (E, t\varphi)$  is  $(E, 0)$ . Then  $E$  is a semistable  $H^\mathbb{C}$ -bundle. So, our hypothesis implies  $\lim_{t \rightarrow 0} (E, t\varphi) = (E_0, \varphi_0)$  with  $\varphi_0 \neq 0$ . Therefore  $(E_0, \varphi_0) \in \mathcal{F}_\lambda$ , with  $\lambda \neq 0$  (as  $\|\varphi_0\| \neq 0$ ) and hence the result follows using Proposition 3.4.  $\square$



**3.2. The associated Higgs bundle.** The following can be found in [Hi92, GPR15]. Again, let  $G$  be a real reductive Lie group with maximal compact subgroup  $H$ , and  $\mathfrak{g}^{\mathbb{C}}$  be the complexification of the Lie algebra  $\mathfrak{g}$  of  $G$ . Let  $\sigma: \mathfrak{g}^{\mathbb{C}} \rightarrow \mathfrak{g}^{\mathbb{C}}$  be the corresponding ( $\mathbb{C}$ -antilinear) real structure and let  $\theta: \mathfrak{g}^{\mathbb{C}} \rightarrow \mathfrak{g}^{\mathbb{C}}$  be the ( $\mathbb{C}$ -linear) Cartan involution. Consider the Cartan decomposition

$$\mathfrak{g}^{\mathbb{C}} = \mathfrak{h}^{\mathbb{C}} \oplus \mathfrak{m}^{\mathbb{C}}$$

into  $\pm 1$ -eigenspace for  $\theta$ .

For example, for  $G = \mathrm{SL}(2, \mathbb{R})$ , the Cartan involution is  $\theta: X \mapsto -X^t$  and the Cartan decomposition of  $\mathfrak{sl}(2, \mathbb{C})$  under  $\theta$  is

$$\mathfrak{sl}(2, \mathbb{C}) = \mathfrak{so}(2, \mathbb{C}) \oplus \mathfrak{sym}_0(2, \mathbb{C})$$

where  $\mathfrak{so}(2, \mathbb{C})$  denotes the trace zero complex diagonal matrices, and  $\mathfrak{sym}_0(2, \mathbb{C})$  the complex antidiagonal matrices.

When  $G$  is non-abelian, there is a  $\sigma$  and  $\theta$ -equivariant injective morphism

$$\rho': \mathfrak{sl}(2, \mathbb{C}) \rightarrow \mathfrak{g}^{\mathbb{C}},$$

such that  $\rho' = \rho'_+ \oplus \rho'_-$ , where

$$(3.1) \quad \rho'_+ : \mathfrak{so}(2, \mathbb{C}) \rightarrow \mathfrak{h}^{\mathbb{C}}, \quad \rho'_- : \mathfrak{sym}_0(2, \mathbb{C}) \rightarrow \mathfrak{m}^{\mathbb{C}}.$$

Since  $\mathrm{SL}(2, \mathbb{C})$  is simply-connected  $\rho'$  lifts to

$$(3.2) \quad \rho : \mathrm{SL}(2, \mathbb{C}) \rightarrow G^{\mathbb{C}}.$$

On the other hand, the restriction  $\rho'|_{\mathfrak{sl}(2, \mathbb{R})} : \mathfrak{sl}(2, \mathbb{R}) \rightarrow \mathfrak{g}$  lifts to a  $\theta$ -equivariant group homomorphism, still denoted by  $\rho$

$$(3.3) \quad \rho : \mathrm{SL}(2, \mathbb{R}) \rightarrow G$$

which takes  $\mathrm{SO}(2)$  to  $H$ . We denote by  $\rho_+$  the complexification of the restriction  $\rho|_{\mathrm{SO}(2)}$

$$(3.4) \quad \rho_+ : \mathrm{SO}(2, \mathbb{C}) \rightarrow H^{\mathbb{C}}.$$

given an  $\mathrm{SL}(2, \mathbb{R})$ -Higgs bundle  $(E', \varphi')$  we can construct a  $G$ -Higgs bundle  $(E, \varphi)$  via (3.4) and (3.1) in the following way:

$$(3.5) \quad E := E' \times_{\mathrm{SO}(2, \mathbb{C})} H^{\mathbb{C}}, \quad \varphi := \rho'_-(\varphi') \in H^0(X, E(\mathfrak{m}^{\mathbb{C}}) \otimes K).$$

More generally we have the following: let  $f : G' \rightarrow G$  be a morphism of reductive Lie groups. This induces a morphism  $f : H'^{\mathbb{C}} \rightarrow H^{\mathbb{C}}$ , still denoted by the same symbol. Given a  $G'$ -Higgs bundle  $(E', \varphi')$  one can associate a  $G$ -Higgs bundle  $(E, \varphi)$ , which is called the *extended  $G$ -Higgs bundle* via  $f$ , with  $E := E' \times_{H'^{\mathbb{C}}} H^{\mathbb{C}}$ . Moreover, since  $\varphi' \in H^0(X, E(\mathfrak{m}'^{\mathbb{C}}) \otimes K)$  we get a section of

$$E(\mathfrak{m}^{\mathbb{C}}) := E(\mathfrak{m}'^{\mathbb{C}}) \times_{i \circ F} \mathfrak{m}^{\mathbb{C}},$$

where  $i$  is the isotropy representation for  $G'$ . Hence  $\varphi'$  defines via the homomorphism  $F := D_e f : \mathfrak{g}' \rightarrow \mathfrak{g}$ , a Higgs field  $\varphi$  on  $E$ .

When  $G$  is connected,  $f$  induces a homomorphism between the fundamental groups

$$f_* : \pi_1(G') \rightarrow \pi_1(G)$$

and the topological type of the associated  $G$ -Higgs bundle corresponds to the image via the map  $f_*$ . We recall the following result on polystability for the associated  $G$ -Higgs bundle:

**Proposition 3.6.** *Let  $f : G' \rightarrow G$  be a morphism between reductive Lie groups (real or complex). Let  $(E', \varphi')$  be a  $G'$ -Higgs bundle and  $(E, \varphi)$  be the extended  $G$ -Higgs bundle via  $f$ . Then, if  $(E', \varphi')$  is semistable, then so is  $(E, \varphi)$ . Thus the group homomorphism  $f$  defines a morphism*

$$\begin{aligned} \mathcal{M}_d(G') &\rightarrow \mathcal{M}_{f_*d}(G) \\ (E', \varphi') &\mapsto (E, \varphi) \end{aligned}$$

*Proof.* This follows from [GPR15, Corollary 5.10], since the stability parameter is zero.  $\square$

**Proposition 3.7.** *Let  $H'^{\mathbb{C}}$  and  $H^{\mathbb{C}}$  be connected complex Lie groups with  $H'^{\mathbb{C}}$  semisimple and  $H^{\mathbb{C}}$  reductive. Let  $f : H'^{\mathbb{C}} \rightarrow H^{\mathbb{C}}$  be a morphism with discrete kernel. Let  $E'$  be a principal  $H'^{\mathbb{C}}$ -bundle and let  $E$  be the principal  $H^{\mathbb{C}}$ -bundle obtained by extension of structure group via  $f$ . If  $E'$  is unstable as a  $H'^{\mathbb{C}}$ -bundle, then  $E$  is unstable as a  $H^{\mathbb{C}}$ -bundle.*

*Proof.* Since  $H'^{\mathbb{C}}$  is semisimple, the unstable  $H'^{\mathbb{C}}$ -bundle  $E'$  is destabilized by a reduction to a proper parabolic subgroup<sup>2</sup>. Now, if  $f$  is surjective, the result follows from [Ra75, Proposition 7.1] — note that one needs to ensure that the image of a proper parabolic in  $H'^{\mathbb{C}}$  is a proper parabolic in  $H^{\mathbb{C}}$ , and the hypothesis on the kernel of  $f$  achieves this. For the general case, suppose then that  $E'$  is not stable. It follows that the principal  $H'^{\mathbb{C}}/\ker(f)$ -bundle obtained by extension of structure group via  $H'^{\mathbb{C}} \rightarrow H'^{\mathbb{C}}/\ker(f)$  is also unstable. Thus, since  $E$  is obtained by extension of structure group via  $f : H'^{\mathbb{C}}/\ker(f) \rightarrow H^{\mathbb{C}}$ , we may assume that  $f$  is injective. The result now follows from [GO16, Proposition 3.13]; note that this is result about  $G$ -Higgs bundles but of course also applies to principal bundles, viewed as Higgs bundles with vanishing Higgs field.  $\square$

*Remark 3.8.* Note that the case of surjective  $f$  is equally valid for  $G$ -Higgs bundles, with essentially the same proof as that of [Ra75, Proposition 7.1]. Thus Proposition 3.7 in fact applies to  $G$ -Higgs bundles as well. We shall, however, not need this.

The following result shows the existence of  $G$ -Higgs bundles  $(E, \varphi) \in \mathcal{F}_\lambda$ , for  $\lambda \neq 0$  as in the hypothesis of Corollary 3.5:

**Proposition 3.9.** *Let  $G$  be a non-abelian (real or complex) reductive connected Lie group. Then there exists a semistable Higgs bundle  $(E, \varphi) \in \mathcal{M}(G)$  with  $E$  an unstable principal  $H^{\mathbb{C}}$ -bundle.*

*Proof.* If  $G$  is complex, consider the  $\mathrm{SL}(2, \mathbb{C})$ -Higgs bundle

$$(K^{1/2} \oplus K^{-1/2}, \varphi = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}).$$

Clearly this is a stable  $\mathrm{SL}(2, \mathbb{C})$ -Higgs bundle and the underlying  $\mathrm{SL}(2, \mathbb{C})$ -bundle  $K^{1/2} \oplus K^{-1/2}$  is unstable. Now we take the extended  $G$ -Higgs bundle via (3.2) which we denote by  $(E, \varphi)$ . By Proposition 3.6 this is semistable and by Proposition 3.7  $E$  is an unstable principal  $G$ -bundle.

For  $G$  real, we can use a variation of the same idea. Consider the basic  $\mathrm{SL}(2, \mathbb{R})$ -Higgs bundle

$$(3.6) \quad (K^{1/2} \oplus K^{-1/2}, Q = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \varphi = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix})$$

---

<sup>2</sup>The semisimple assumption on  $H'^{\mathbb{C}}$  is a subtle point: for example, a line bundle  $L$  with  $\deg(L) \neq 0$  is 0-unstable, however, there is no reduction to a proper parabolic of the structure group  $\mathbb{C}^*$ .

where 1 is the canonical section of  $\text{Hom}(K^{1/2}, K^{-1/2} \otimes K)$ . Clearly this is a stable  $\text{SL}(2, \mathbb{R})$ -Higgs bundle.

Let  $(E, \varphi)$  be the  $G$ -Higgs bundle obtained from the basic  $\text{SL}(2, \mathbb{R})$ -Higgs bundle (3.6) via (3.5). Then, since the diagram

$$\begin{array}{ccc} \text{SL}(2, \mathbb{R}) & \xrightarrow{\rho} & G \\ \downarrow & & \downarrow \\ \text{SL}(2, \mathbb{C}) & \xrightarrow{\rho} & G^{\mathbb{C}} \supset H^{\mathbb{C}} \end{array}$$

commutes, we can use the argument of the previous paragraph to conclude that the  $G^{\mathbb{C}}$ -Higgs bundle  $(\tilde{E}, \tilde{\varphi})$  obtained from  $(E, \varphi)$  by extension of structure group via  $G \subset G^{\mathbb{C}}$  is a semistable  $G^{\mathbb{C}}$ -Higgs bundle, whose underlying principal  $G^{\mathbb{C}}$ -bundle  $\tilde{E}$  is unstable. Finally note that  $\tilde{E}$  is obtained from  $E$  by extension of structure group via the inclusion  $H^{\mathbb{C}} \subset G^{\mathbb{C}}$ . Hence the principal  $H^{\mathbb{C}}$ -bundle is also unstable (cf. Proposition 3.6).  $\square$

**3.3. Reducibility of the nilpotent cone.** Here we deduce reducibility of the nilpotent cone when  $G$  is a connected reductive complex Lie group. Thus, in this subsection  $G = H^{\mathbb{C}}$ .

**Proposition 3.10.** *Let  $G$  be a non-abelian connected reductive complex Lie group. Then the topological type of the extended  $G$ -Higgs bundle  $(E, \varphi)$  constructed in Proposition 3.9 is zero.*

*Proof.* The topological type of the basic  $\text{SL}(2, \mathbb{C})$ -Higgs bundle:

$$(K^{1/2} \oplus K^{-1/2}, \varphi = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix})$$

which we consider in the proof of Proposition 3.9 is zero and hence the topological type of the extended  $G$ -Higgs bundle is zero as well, by the induced homomorphism between the fundamental groups  $i_* : \pi_1(\text{SL}(2, \mathbb{C})) \rightarrow \pi_1(G)$  which is indeed trivial in this case.  $\square$

For the proof of the next result we shall need the notion of very stable  $G$ -bundles which we recall from [La88, BR94]: A principal  $G$ -bundle  $P$  is said to be *very stable* if  $H^0(X, \text{ad} P \otimes K)$  does not contain any non-zero nilpotent Higgs field.

**Proposition 3.11.** *Let  $G$  be a non-abelian connected reductive complex Lie group. Then, the nilpotent cone contains a component which does not belong to  $\mathcal{N}_0(G)$ .*

*Proof.* It follows from Proposition 3.9 and Proposition 3.10 that there exists a semistable  $G$ -Higgs bundle  $(E, \varphi)$  of trivial topological type for which  $E$  is unstable as a principal  $H^{\mathbb{C}}$ -bundle. This implies that there is some  $\lambda \neq 0$  such that  $F_\lambda$  is non empty, see proof of Corollary 3.5. And on the other hand, using the existence of very stable  $G$ -bundles result, [BR94, Corollary 5.6], we can conclude that  $\mathcal{F}_0$  is not contained in  $\bar{D}_\lambda$  and hence the result follows.  $\square$

**Theorem 3.12.** *Let  $G$  be a non-abelian connected reductive complex Lie group. Then the nilpotent cone in the moduli space  $\mathcal{M}_0(G)$  of  $G$ -Higgs bundles of trivial topological type is not irreducible.*

*Proof.* It is immediate from Proposition 3.11.  $\square$

*Remark 3.13.* The above result was shown in [BF11] in the semisimple complex case. Our result extends this to the complex reductive case. Since in the case of real reductive  $G$  we do not have existence result of very stable  $G$ -bundles we could not conclude reducibility of the nilpotent cone for this case.

**3.4. Non retracting and topological type.** By putting together our previous result here we prove that the moduli space of  $G$ -Higgs bundles does not deformation retract onto the moduli space of principal bundles. Since we want to study the obstructions to a deformation retraction from  $\mathcal{M}(G)$  to  $\mathcal{N}(H^\mathbb{C})$ , we should consider separately each topological type, and here we consider trivial topological type. Thus, in order to apply Corollary 3.5, we should look for a semistable  $G$ -Higgs bundle  $(E, \varphi)$  in  $\mathcal{M}_0(G)$  for which  $E$  is unstable as a principal  $H^\mathbb{C}$ -bundle. The following result shows that Proposition 3.9 gives a topologically trivial  $G$ -Higgs bundle with unstable underlying  $H^\mathbb{C}$ -bundle.

**Proposition 3.14.** *We have the following:*

- (i) *Let  $G$  be a non-abelian connected simple real Lie group of Hermitian non-tube type. Then the topological type of the extended  $G$ -Higgs bundle  $(E, \varphi)$  constructed in Proposition 3.9 is zero.*
- (ii) *Let  $G$  be a non-abelian connected reductive real Lie group of non-Hermitian type. Then there is a polystable  $G$ -Higgs bundle  $(E, \varphi)$  of trivial topological type such that  $E$  is an unstable  $H^\mathbb{C}$ -bundle.*

*Proof.* Part (i) follows from [GPR15, Proposition 7.1, Proposition 7.2]. To prove Part (ii), let  $\tilde{G}$  be the universal cover of  $G$  and hence we have a surjective Lie group homomorphism

$$p : \tilde{G} \rightarrow G$$

such that  $\ker(p)$  lies in the center of  $\tilde{G}$ . By Proposition 3.9 we obtain a polystable  $\tilde{G}$ -Higgs bundle  $(\tilde{E}, \tilde{\varphi})$  with unstable  $H^\mathbb{C}$ -bundle and since  $\tilde{G}$  is simply-connected the topological type of  $\tilde{E}$  is trivial. Therefore, by using Proposition 3.7 and Proposition 3.6 the extended  $G$ -Higgs bundle via the covering map is the desired  $G$ -Higgs bundle.  $\square$

*Remark.* When  $G$  is a connected simple real Lie group of Hermitian tube type then the topological type of the extended  $G$ -Higgs bundle  $(E, \varphi)$  as in Proposition 3.9 is maximal, see [GPR15, Proposition 7.2]. Since we are studying the obstructions to a deformation retraction from the moduli space of polystable  $G$ -Higgs bundles of trivial topological type  $\mathcal{M}_0(G)$  to  $\mathcal{N}_0(H^\mathbb{C})$  we exclude this case in the above Proposition.

Finally putting our results together we obtain the following theorem. Note that the moduli spaces are generally singular.

**Theorem 3.15.** *Let  $G$  be a non-abelian (real or complex) connected reductive Lie group of non-Hermitian type or connected simple real Lie group of Hermitian non-tube type. Then the moduli space of semistable principal  $H^\mathbb{C}$ -bundles of trivial topological type  $\mathcal{N}_0(H)$  is not a deformation retraction of the moduli space  $\mathcal{M}_0(G)$  of semistable  $G$ -Higgs bundles of trivial topological type.*

*Proof.* Combine Corollary 3.5, Proposition 3.9, Proposition 3.10 and Proposition 3.14.  $\square$

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